

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/687,002
Filing Date	October 16, 2003
First Named Inventor	Tuan Ta
Art Unit	2141
Examiner Name	COULTER, Kenneth R.
Attorney Docket Number	ION1120-1

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	112	US- 6,678,733 B1	01-13-2004	Brown et al.	
	113	US- 6,732,179 B1	05-04-2004	Brown et al.	
	114	US- 7,324,947 B2	01-29-2008	Jordan et al.	
	115	US- 7,325,042 B1	01-29-2008	Soscia et al.	
	116	US- 7,406,530 B2	07-29-2008	Brown et al.	
	117	US- 7,420,956 B2	09-02-2008	Karaoguz et al.	
	118	US- 20020131404 A1	09-19-2002	Mehta et al.	
	119	US- 20030041104 A1	02-27-2003	Wingard et al.	
	120	US- 20030070170 A1	04-10-2003	Lennon	
	121	US- 20030078784 A1	04-24-2003	Jordan et al.	
	122	US- 20030126608 A1	07-03-2003	Safadi et al.	
	123	US- 20040064836 A1	04-01-2004	Ludvig et al.	
	124	US- 20040073941 A1	04-15-2004	Ludvig et al.	
	125	US- 20040103426 A1	05-27-2004	Ludvig et al.	
	126	US- 20040122956 A1	06-24-2004	Myers et al.	
	127	US- 20040179822 A1	09-16-2004	Tsumagari et al.	
	128	US- 20040181816 A1	09-16-2004	Kim et al.	
	129	US- 20040210633 A1	10-21-2004	Brown et al.	
	130	US- 20050195854 A1	09-08-2005	Agmon et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	B9	WO 04/034229	04-22-2004	Rocksteady Networks, Inc.		
	B10	WO 04/036371	04-29-2004	Rocksteady Networks, Inc.		
	B11	WO 05/020035	03-03-2005	Rocksteady Networks, Inc.		

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2

of 2

Complete if Known

Application Number	10/687,002
Filing Date	October 16, 2003
First Named Inventor	Tuan Ta
Art Unit	2141
Examiner Name	COULTER, Kenneth R.
Attorney Docket Number	ION1160-1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	131	US- 20050204168 A1	09-15-2005	Johnston et al.	
	132	US- 20060184618 A1	08-17-2006	Kurup et al.	
	133	US- 20070073718 A1	03-29-2007	Ramer et al.	
	134	US- 20070186113 A1	08-09-2007	Cuberson et al.	
	135	US- 20070208936 A1	09-06-2007	Ramos Robles	
	136	US- 20070268878 A1	11-22-2007	Clements	
	137	US- 20080066096 A1	03-13-2008	Wollmershauser et al.	
	138	US- 20080120661 A1	05-22-2008	Ludvig et al.	
	139	US- 20080147840 A1	06-19-2008	Roelens et al.	
	140	US- 20080276305 A1	11-06-2008	Chan et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/687,002
		Filing Date	October 16, 2003
		First Named Inventor	Tuan Ta
		Art Unit	2141
		Examiner Name	COULTER, Kenneth R.
Sheet 1	of 1	Attorney Docket Number	ION1120-1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C48	International Preliminary Report on Patentability in PCT/US03/032268 dated April 10, 2005, 3 pages	
	C49	International Preliminary Report on Patentability in PCT/US03/032912 dated April 16, 2005, 3 pages	
	C50	International Preliminary Report on Patentability in PCT/US04/029249 dated February 21, 2006, 1 page	
	C51	Written Opinion of the International Searching Authority in PCT/US04/029249 dated December 15, 2005, 5 pages	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.96. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.